BUILDFAST: History-Aware Build Outcome Prediction for Fast Feedback and Reduced Cost in Continuous Integration

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ABSTRACT

Long build times in continuous integration (CI) can greatly increase the cost in human and computing resources, and thus become a common barrier faced by software organizations adopting CI. Build outcome prediction has been proposed as one of the remedies to reduce such cost. However, the state-of-the-art approaches have a poor prediction performance for failed builds, and are not designed for practical usage scenarios. To address the problems, we first conduct an empirical study on 2,590,917 builds to characterize build times in real-world projects, and a survey with 75 developers to understand their perceptions about build outcome prediction. Then, motivated by our study and survey results, we propose a new history-aware approach, named BUILDFAST, to predict CI build outcomes cost-efficiently and practically. We develop multiple failure-specific features from closely related historical builds via analyzing build logs and changed files, and propose an adaptive prediction model to switch between two models based on the build outcome of the previous build. We investigate a practical online usage scenario of BUILDFAST, where builds are predicted in chronological order, and measure the benefit from correct predictions and the cost from incorrect predictions. Our experiments on 20 projects have shown that BUILDFAST improved the state-of-the-art by 47.5\% in F1-score for failed builds.

CCS CONCEPTS

• Software and its engineering → Maintaining software.

KEYWORDS

Continuous Integration, Build Failures, Failure Prediction

ACM Reference Format:

1 INTRODUCTION

Continuous integration (CI) is a software development practice where developers are required to merge their code into a shared repository frequently [15, 19]. Each integration is then verified through an automated build, including dependency installation, code compilation and test case execution. CI brings multiple benefits to a software organization; e.g., it helps to find and fix integration errors earlier and faster, improve developer productivity, improve product quality and reduce development and delivery time [15, 27, 28, 52].

Apart from the benefits, CI can incur high costs [28]. In particular, one of the well-recognized costs in CI is caused by the time duration of a build (a.k.a. build time) [22, 28]. As reported by a recent study on open-source projects, over 40\% of the builds have a time duration of over 30 minutes [22], which far exceeds the acceptable build time of 10 minutes [19, 27]. Such long build times greatly increase the cost in human and computing resources, and hence become a common barrier faced by software organizations adopting CI [27, 53].

On the one hand, developers need to wait for a long time to get integration feedback before they continue to work on the verified, latest code base. As a result, developers lose focus and become less productive, which hinders parallel development and overshadows the benefits of CI. On the other hand, computing resources required for running builds are usually in proportion to build times [42]. Hence, a tremendous investment in computing resources (e.g., millions of dollars in Google [28]) is needed to support slow builds.

To reduce such cost in CI, a number of techniques have been proposed from different perspectives. One line of work is focused on developing test case prioritization techniques [9, 16, 36, 39, 60] and test case selection techniques [41, 49] into CI in order to minimize test execution times and speed up builds. Complementary to them, one line of work attempts to skip specific builds (e.g., only having non-source code changes) for saving their whole build times via manual configurations [12, 13] or automated rule-based/learning-based methods [3, 4]. More aggressively, build outcome prediction [18, 25, 26, 33, 44, 47, 56, 59] leverages machine learning techniques
to predict build outcomes such that the cost of the builds that are predicted to pass can be reduced. As our empirical study reports that over 70% of the builds are passed (Sec. 2.1), build outcome prediction can potentially lead to high cost reduction.

Despite recent advances, build outcome prediction still suffers the following problems, heavily hindering their practical adoption in CI. First, failed builds have a poor prediction performance. Since passed builds often account for a very large portion of all builds in a project, existing techniques tend to predict builds as passed such that they can still yield an overall good performance although they have a poor performance on failed builds. However, failed builds, if incorrectly predicted, can incur high cost. More importantly, existing techniques fail to utilize features that can better capture the characteristics of build failures. Specifically, some techniques [25, 33, 47, 56] leverage social and technical factors to learn prediction models without distinguishing passed and failed builds. More recently, some techniques [26, 44] try to leverage failure-specific features, but in a coarse-grained way (e.g., failure ratio [44] and types of build failures [26]).

Second, practical usage scenarios are not well considered. As CI builds arrive in chronological order, a build’s outcome should be predicted based on a prediction model learned from its previous builds. Hence, the performance of existing techniques obtained by widely-used cross-validation deviates the performance in practical online scenarios. Such negative deviations have also been empirically reported [57]. Moreover, the cost from incorrect predictions and the benefit from correct predictions are important indicators, which are closely relevant to practical usage scenarios. However, without accounting for usage scenarios, existing techniques only measure the prediction performance, but do not systematically analyze the cost and benefit.

In this paper, we first conduct a large-scale empirical study, using 2,590,917 builds from 1,621 GitHub projects, to investigate the time duration of CI builds. Our study is designed to characterize the severity of slow builds in practice and motivate the potential of build outcome prediction. We also conduct an online survey with 75 developers to retrieve first-hand information about developers’ perceptions of build outcome prediction. Our survey results reveal consistent concerns with the above two problems of build outcome prediction.

Then, to address the two problems, we propose a history-aware approach, BuildFast, to predict CI build outcomes cost-efficiently and practically. It can help to obtain fast integration feedback and reduce integration cost. Specifically, to address the first problem, we design multiple failure-specific features via digging deep into historical builds, i.e., analyzing build logs and changed files from closely related historical builds. We also develop an adaptive prediction model to switch between two models based on the outcome of the previous build. These two models are separately trained, respectively using a representative set of builds. To address the second problem, we investigate a practical online usage scenario of BuildFast, where the builds are predicted in chronological order, to measure the benefit from correct predictions and the cost from incorrect predictions.

To evaluate the effectiveness and efficiency of BuildFast, we compared BuildFast with three state-of-the-art approaches [26, 44, 59] on 20 Java open-source projects. Our evaluation results have demonstrated that BuildFast can significantly improve the best of the state-of-the-art approaches by 47.5% in F1-score for failed builds without losing F1-score for passed builds. The benefit of BuildFast exceeds its cost; and the average time overhead to predict a build is 1.3 seconds, which is practical. We also demonstrated the contribution of each component in BuildFast to its effectiveness improvement.

In summary, this paper makes the following contributions.

- We conducted an empirical study to characterize build times in real-world projects as well as a developer survey to understand their perceptions on build outcome prediction.
- We proposed a history-aware approach, named BuildFast, to predict CI build outcomes cost-efficiently and practically.
- We conducted large-scale experiments on 20 open-source projects to demonstrate the effectiveness and efficiency of BuildFast.

The rest of the paper is structured as follows. Section 2 presents an empirical study of build times and a developer survey to motivate build outcome prediction. Section 3 introduces the proposed approach in detail. Section 4 evaluates the proposed approach. Section 5 reviews related work before Section 6 draws conclusions.

2 MOTIVATION

In this section, we first present an empirical study of build times in a large corpus of open-source projects and then report our survey with developers to better motivate build outcome prediction.

2.1 Build Time Study

Our empirical study of build times is focused on open-source projects due to their publicly available build data. We start with the dataset proposed by Zhang et al. [62], which contains the CI build history of 3,799 open-source Java projects hosted on GitHub. To the best of our knowledge, this is the largest dataset of CI builds. To further ensure that the projects use CI frequently, we exclude the projects that have less than 300 builds, which results in 1,621 projects with a total of 2,612,775 builds. In detail, 2,590,917 (99.2%) of them have a build state of passed, errored or failed. An errored or failed build is called a broken build. The difference is that the error that causes an errored build occurs in an earlier build phase than the error that causes a failed build. The remaining 21,858 (0.8%) of builds have uncommon states (i.e., canceled and started) and are thus not considered in this study.

Using 2,590,917 builds from 1,621 projects, our study is designed to answer the following three research questions.

RQ1: How long is the time duration of passed, errored and failed CI builds across all the projects?
RQ2: How many passed, errored and failed CI builds can be considered as slow in each project?
RQ3: How much build time is consumed by the passed, errored and failed CI builds in each project?

In RQ1, we report the overall build time distribution respectively for all passed, errored and failed builds in the 2,590,917 builds. In RQ2, we measure for each project the ratio of slow builds among all passed, errored and failed builds respectively, and report the ratio distribution across all projects. Here, we regard a build as slow if it has a build time of more than 10 minutes, because the acceptable build time is 10 minutes [19, 27]. Our results from RQ1 and RQ2 aim to characterize the generality and severity of the incurred high costs by build times, and motivate the potential value of build outcome prediction in reducing costs. In RQ3, we measure for each project the total build time of all passed, errored and failed builds respectively, analyze its ratio to the
Why would CI build outcome prediction not be useful? How often does your team trigger CI builds of your projects? Are you a professional or part-time software developer?

We also observe that passed, errored, failed and broken builds have a ratio of slow builds among passed, errored, failed and broken builds in violin plot in logarithmic scale. The three lines in each plot respectively denote the upper quartile, the median and the lower quartile. We observe that the median time duration of all builds is 9.3 minutes, which is much shorter than reported in a previous study [22] (i.e., 20 minutes). This large difference could be attributed to the small dataset (i.e., 104,442 builds in 67 projects) of the previous study [22].

Overall Build Time (RQ1). Fig. 1a gives the overall build time distribution for all builds, passed builds, errored builds, failed builds and broken builds in violin plot in logarithmic scale. The three lines in each plot respectively denote the upper quartile, the median and the lower quartile. We observe that the median time duration of all builds is 9.3 minutes, which is much shorter than reported in a previous study [22] (i.e., 20 minutes). This large difference could be attributed to the small dataset (i.e., 104,442 builds in 67 projects) of the previous study [22]. We also observe that passed, errored, failed and broken builds have a median time duration of 9.4, 5.2, 10.5 and 8.9 minutes respectively. Except for errored builds, the median time duration of passed, failed and broken builds is very close to the acceptable 10-minute build time [19, 27], denoted by the blue line in Fig. 1a. More specifically, 47.7%, 40.7%, 51.4% and 47.4% of the passed, errored, failed and broken builds are slow builds. Further, one quarter of the passed, errored, failed and broken builds have a time duration of over 22.3, 26.2, 30.2 and 28.9 minutes, while 8.1%, 12.6%, 14.1% and 13.5% of the passed, errored, failed and broken builds even take more than an hour to run. These results demonstrate that CI builds often take a moderately long time to run. In that sense, developers need to wait for a moderately long time to get the integration feedback, which incurs moderately high costs.

Ratio of Slow Builds (RQ2). Fig. 1b shows the distribution of the ratio of slow builds among passed, errored, failed and broken builds across all projects in violin plot. Using the medians, we observe that at least 15.2%, 13.3%, 9.1% and 12.6% of the passed, errored, failed and broken builds are slow in half of the projects. 106 (6.5%) projects have no slow build. At first glance, this result seems to be inconsistent with the result in Fig. 1a (i.e., around half of the builds are slow). This can be explained by the observation that projects with a larger lines of code are more likely to have a larger number of builds and a higher ratio of slow builds, and the difference is statistically significant (i.e., p < 0.0001 in Wilcoxon Signed-Rank test). Moreover, using the upper quartiles, we surprisingly observe that more than 61.9%, 40.0%, 46.7% and 42.7% of the passed, errored, failed and broken builds are slow in one quarter of the projects. These results indicate that slow builds are a moderately common problem faced by developers adopting CI, especially in large-scale projects.

Ratio of Build Time (RQ3). Fig. 1c presents the distribution of the ratio of build time consumed by the passed, errored, failed and broken builds across all projects in violin plot. We can observe that more than 72.4%, 83.6% and 90.2% of the build time is consumed by passed builds in 75%, 50% and 25% of the projects, whereas at most 9.8%, 16.4% and 27.6% of the build time is consumed by broken builds in 25%, 50% and 75% of the projects. This is consistent with the imbalanced number of passed and broken builds. These results demonstrate that a considerably large amount of time is spent in passed builds, which represents the optimal cost reduction that can be potentially achieved by build outcome prediction (see Sec. 3.4 for a detailed discussion).

2.2 Developer Survey

Our online survey is designed for developers who participated in CI-based software development. Therefore, we randomly select 15,000 developers from 57,939 developers who triggered CI builds in the 1,621 projects used in our empirical study. We send an email to each of the 15,000 developers to introduce the background on build outcome prediction and invite them to take our online questionnaire survey. We promise that their participation would remain confidential, and our analysis and reporting would be based on aggregated responses. In response to our invitation, 75 developers finished the questionnaire within one week (i.e., a participation rate of 0.5%).

As reported in Table 1, our survey consists of 10 questions to learn about all the participants’ professional background, CI usage, and...
perceptions of build outcome prediction. The complete questionnaire with options is available at our website [2].

Professional Background (Q1-Q4). Of all participants, 93.3% are professional developers, and only 6.7% are part-time developers. 45.3% work in a company of more than 100 employees, 12.0% work in a company of 51 to 100 employees, and 42.7% work in a company of up to 50 employees. 42.7% have over 10 years of experience in Java programming, 32.0% have 6 to 10 years, and 25.3% have up to 5 years. 58.7% have participated in the development of more than 15 projects, 5.3% have participated in 11 to 15 projects, and 36.0% have participated in up to 10 projects. We believe that the participants have considerably good experience in parallel software development.

CI Usage (Q5-Q7). 16.0% of the participants have used CI for over 10 years, 41.3% and 34.7% have respectively used CI for 6 to 10 years and 2 to 5 years, and only 8.0% have used CI for less than 2 years. With respect to the build frequency, for 52.0% of the participants, their team averagely triggers a CI build every hour, and for 34.7% of the participants, their team averagely triggers a CI build every minute. 9.3% also comment their team triggers a CI build for every commit. When asked about whether CI builds are time-consuming, 69.3% fully agree, while 26.7% clearly disagree and 4% are not sure.

Perception of Build Outcome Prediction (Q8-Q10). 48.0% of the participants think that build outcome prediction would be useful, but 26.7% think that it would not be useful. 25.3% are not sure mostly because it depends on how it works and how well it works. Further, the participants report three major reasons for the usefulness, i.e., obtaining fast feedback of CI builds (61.3%), saving time overhead of CI builds (50.7%), and accelerating software development (41.3%). On the other hand, the participants also reveal four major reasons for the uselessness, i.e., lacking prediction performance (especially for failed builds) (81.3%), delaying the discovery of bugs due to incorrect predictions (73.3%), lacking explainability (and hence developers do not trust it) (48.0%), and increasing the difficulty of bug fixing due to incorrect predictions (44.0%). Besides, around half of the participants commented that CI builds had to be ran to obtain the build artifacts that would be needed by other projects, especially for passed builds.

Insights. From our survey results, we believe that build outcome prediction has its own potential merit for fast feedback and reduced cost in CI. However, the prediction performance (especially for failed builds) should be taken great care of, as a majority of the developers have concerns on it. The cost and benefit of build outcome prediction should be holistically investigated under a practical usage scenario so that developers can have a holistic view rather than fearing the cost and can have more trust to try build outcome prediction.

3 METHODOLOGY
In this section, we first present an overview of BuildFast, and then elaborate each step of BuildFast in detail.

3.1 Overview
Our history-aware build outcome prediction approach uses machine learning techniques, and hence has two basic phases: training phase and prediction phase. In the training phase, BuildFast first extracts three sets of features for each build in a target project (i.e., feature extraction in Sec. 3.2). Then, BuildFast trains a novel adaptive prediction model with the extracted features from a set of builds (i.e., prediction model generation in Sec. 3.3). In the prediction phase, BuildFast extracts the same sets of features for a build under prediction, and uses the trained model to predict its build outcome. Moreover, we systematically explore a practical usage scenario of BuildFast to measure the cost and benefit (i.e., cost-benefit analysis in Sec. 3.4). Although currently implemented for Java projects that use Travis as the CI service, BuildFast can be easily extended to support other programming languages and other CI services by providing specific implementations for feature extraction.

3.2 Feature Extraction
We survey the features adopted in the state-of-the-art approaches [26, 44, 45, 59], and find that their features are mostly directly taken from the TravisTorrent database [7], which is a general-purpose database but is not specialized for build outcome prediction. As a result, high-level coarse-grained features are used without further digging deep
into the characteristics about build failures. Therefore, we introduce several fine-grained failure-specific features to enhance the existing features based on a detailed analysis of build logs and changed files. Build logs contain historical knowledge about previous build failures [30, 32, 46, 54] which can be learned to predict future build outcomes, while how files are changed in a file can affect its build outcome. In general, we derive the features of a build (i.e., the current build) in three dimensions, i.e., features about the current build, features about the previous build, and features about historical builds.

**Features about the Current Build.** As the build log of the current build is unavailable (at prediction time), we derive the features from file changes in the current build. Table 2 gives the features with our new features in bold. C1–C3 represent line-level changes, where C3 and C4 are newly derived to analyze changes at the level of abstract syntax tree (AST) so that formatting changes (e.g., removing a space) that will not fail a build are distinguished. C7–C13 denote file-level changes by distinguishing various kinds of files. C14–C19 are class-, method-, field- and import-level changes. However, they fail to distinguish how a class, method, field and import is changed. For example, a deleted class has a higher probability to cause a build failure than an added class because the deleted class might be used but its usage is not accordingly updated. Hence, we derive new features C20–C29 to distinguish modified, added and deleted classes, methods, fields and imports. C30–C33 denote commit-level knowledge. As a build includes a set of commits, we introduce C31–C32 to distinguish the types of commits as bug-fixing and merging commits have a high probability to cause build failures due to potential incomplete fix or merging conflict, and C33 to measure the degree of collaboration in the current build as a high degree of collaboration might lead to a high possibility of conflicts. Finally, C34–C38 represent the meta data about the current build, i.e., who triggers the current build, and where and when the current build is triggered. Here we introduce C34 and C35 because core members may less likely to fail a build and developers work more carefully on master branches.

**Features about the Previous Build.** As build failures often consecutively occur [26], the characteristics of the previous build often serve as a good indicator. Table 3 reports the features about the previous build of the current build with our new features in bold. Specifically, P1–P6 are derived from the build log of the previous build. We introduce P4 and P5 to measure the degree of failure caused by testing. Intuitively, a larger number of failed tests indicates a higher difficulty to fix the failed build, and thus a higher probability to have a consecutive build failure. P6 measures the build time of the previous build. A longer build time indicates a higher complexity of the code and thus a higher possibility to fail. P7 and P8 measure the degree of code changes in the previous build; and a high degree of code changes may also increase the difficulty to fix the failed build.

**Features about Historical Builds.** Table 4 reports the features about historical builds with our new features in bold. In particular, H4–H5 represent statistics about previous broken builds by distinguishing all previous builds, the recent five builds, and all previous builds and the recent five builds triggered by the committer of the current build. Here we introduce H4 to measure the increment between the failure ratio at the last and penultimate broken build. A positive value indicates an increasing trend in build failures. H5–H6 are newly introduced to model the distance to the last broken build, and the number of historical consecutive broken builds. A larger
value of these features indicate a higher possibility of build failures. $H_{10}$–$H_{25}$ are newly designed to measure the connection of the files changed in the current build (hereafter referred to as current files for the ease of presentation) to historical builds. In detail, $H_{10}$ measures the number of commits in the last three months that change the current files. A high value of this feature denotes that the current build changes frequently changed files. As frequently changed files often have high potential of bugs [14], it is likely to fail the current build. $H_{11}$–$H_{13}$ measure the probability that each current file is changed in previous broken builds. The higher the value, the higher possibility to fail the current build. $H_{14}$, $H_{16}$, $H_{18}$ and $H_{20}$ measure the number of production, test, build script and documentation files changed between the latest passed build and the previous build. If the previous build is broken, they actually measure the files changed in the previous consecutive broken builds. Therefore, a higher value indicates a higher difficulty to fix previous broken builds. Similarly, $H_{22}$ and $H_{24}$ measure the number of production and test files reported in the build log of the previous build. Such files are listed in build logs mostly due to exceptions in production and test files, and hence indicate the potential root causes of exceptions. Therefore, a higher value indicates a higher difficulty to fix exceptions. $H_{23}$ and $H_{25}$ measure their intersection to current production and test files. A smaller intersection indicates a lower possibility to fix exceptions. $H_{26}$ measures the degree of collaboration in the last three months.

Due to space limitation, we omit the implementation detail of feature extraction. The implementation and a detailed explanation of each feature are available at our website [2].

### 3.3 Prediction Model Generation

Our prediction model is designed to have two characteristics, i.e., feature selection and adaptive model, to improve the performance.

**Feature Selection.** As shown in Table 2, 3 and 4, a total of 72 features are introduced from three dimensions. Considering the potentially different characteristics of different projects, we leverage feature selection methods [24] to automatically select the features that contribute most to build outcome prediction for a specific project, instead of manually determining a fixed set of features for all projects. As will be discussed in our evaluation (see Sec. 4.4), different sets of features are selected for different projects.

**Adaptive Model.** Whether the previous build fails or passes has a different impact on the development activities in the current build. If the previous build fails, developers mainly conduct corrective or preventive activities during the current build. If the previous build passes, developers mainly perform adaptive or perfective activities during the current build. Thus, to learn such differences without confusing the model, we separate our training dataset into two representative datasets; i.e., the first dataset includes the builds whose previous build fails and the second dataset includes the builds whose previous build passes. However, both datasets still have imbalanced data for passed and failed builds, which might hinder the prediction performance for failed builds. To partially solve this problem, we include all the failed builds into the two datasets without distinguishing the build outcome of their previous build; i.e., we further include the failed builds whose previous build passes into the first dataset, and further include the failed builds whose previous build fails into the second dataset. Based on these two datasets, we respectively train a model to predict build outcomes. In this way, in the prediction phase, if the build under prediction has a failed previous build, we use the first model, and if the build under prediction has a passed previous build, we use the second model.

### 3.4 Cost-Benefit Analysis

Practical usage scenarios have to be analyzed to measure the cost and benefit of build outcome prediction. As CI builds arrive in chronological order, build outcome has to be predicted in an online way in chronological order. Except for [18, 45, 59], all the existing approaches do not predict in chronological order but in a cross-validation way (i.e., a build may be predicted based on a model learned from future builds).

Following this online scenario, build outcome prediction can be used in two scenarios, depending on whether the predicted-to-pass builds are ran or not. First, each build is actually ran. However, team members and project managers could have more confidence to start using the latest code base and conducting project plan without waiting for the build to finish if it is predicted to pass. Hence, computing resources are not reduced; but waiting times are reduced, promoting parallel development and speeding up the release cycle. Second, the predicted-to-fail builds are actually ran, while the predicted-to-pass builds are skipped. Therefore, computing resources are also reduced. In both scenarios, however, developers may work on the buggy code base and need to redo or roll back their work if the prediction is not correct (i.e., predicted-to-pass builds actually fail). In the latter scenario, those integration errors may accumulate for a long time without timely correction, increasing the fixing efforts.

As indicated by our survey (see Sec. 2.2), developers have more concerns on the second aggressive usage scenario, e.g., delaying the discovery of bugs due to incorrect predictions, increasing the difficulty of bug fixing due to incorrect predictions, and requiring the build artifacts that would be needed by other projects. Therefore, we decide to take the first conservative usage scenario. Under this scenario, the benefit comes from the correct prediction for passed builds. Here we use the build time of such builds as the indicator of the benefit. As we do not directly pinpoint the root cause of build failures, we consider no benefit from the correct prediction for failed builds. Correspondingly, the cost comes from the incorrect prediction for failed builds. Here we use the build time of such builds as the indicator of the cost, and consider no cost from the incorrect prediction for passed builds because developers would wait for the build to complete in the same way as no build outcome prediction approach is used. Finally, we define the gain as the difference between benefit and cost.

### 4 EVALUATION

We have implemented BuildFast in 13.1K lines of Python, Ruby and Java code, using scikit-learn [1] for machine learning and CLDIFF [29] for code change analysis. We have released the code of BuildFast at our website [2] with the dataset used in our evaluation.

#### 4.1 Evaluation Setup

To evaluate the effectiveness and efficiency of the proposed approach, we compared our approach with three state-of-the-art build...
outcome prediction approaches, and measured the contribution of each component in BuildFast to its effectiveness, using 20 GitHub Java projects. Our evaluation is designed to answer the following research questions.

- **RQ4**: How is the effectiveness of BuildFast in predicting build outcomes, compared with the state-of-the-art approaches? (Sec. 4.2)

- **RQ5**: How is the efficiency of BuildFast in predicting build outcomes, compared with the state-of-the-art approaches? (Sec. 4.3)

- **RQ6**: How is the contribution of each component in BuildFast to the achieved effectiveness of BuildFast? (Sec. 4.4)

**Dataset.** We randomly selected 20 projects from the 1,621 projects used in our empirical study (see Sec. 2.1). The statistics about these projects are listed in Table 5, including their creation date, lines of code, the number of stars, and the number of passed and failed builds. We can see that these projects are mostly large in size, and have a long evolution history, which ensures diverse build data. For each project, we split the builds into the training and testing dataset by 3:1.

**Comparison Approaches.** For RQ4 and RQ5, we selected BS1 [26], BS2 [44] and BS3 [59] as the baselines because BS1 and BS2 are the state-of-art approaches that predict in a cross-validation way and BS3 is the state-of-art approach that predicts in chronological order. For RQ6, we ran BuildFast by removing feature selection, by training only one model with all builds, by training two models without including all failed builds, and by excluding our new features.

**Evaluation Metrics.** Following prior works, we used precision, recall, F1-score and AUC to measure the accuracy of build outcome prediction. We distinguished precision, recall and F1-score for passed builds and failed builds for a detailed comparison across different approaches. We also used benefit, cost and gain (see Sec. 3.4) to measure the cost-efficiency. As BS3 is designed for optimizing AUC, we can only measure its AUC. In summary, we used accuracy and cost-efficiency to indicate the effectiveness.

**Model Configuration.** During model generation (see Sec. 3.3), we adopted Chi-Squared Testing [23] to select the top 30 features for our first model, and Information Gain [35] to select the top 25 features for our second model. Besides, we used XGBoost [11] with default parameters as the classifier. This configuration was empirically established as good. For space limitation, detailed comparisons to other configurations are available at our website [2].

### 4.2 Effectiveness Evaluation (RQ4)

Table 6 presents the results of BS1, BS2, BS3 and BuildFast with respect to the seven effectiveness metrics. The first column shows the build outcome prediction approaches, the second column lists the metrics, and the next twenty columns report the metric values for each project under each approach, and the last column gives the average for precision, recall, F1-score and AUC and the sum for benefit, cost and gain across all projects. The unit of benefit, cost and gain is hour.

Compared with BS1, BuildFast significantly improved the precision, recall and F1-score for failed builds by 16.5%, 60.2% and 47.5%; and such differences were statistically significant using Wilcoxon Signed-Rank test. Meanwhile, BuildFast slightly improved the F1-score for passed builds. Overall, BuildFast improved F1-score and AUC of BS1 by 3.9% and 5.5%, with the differences statistically significant. For benefit, cost and gain, there was no statistically significant difference due to the minority of failed builds and the variance of build times. Still, BuildFast had a total gain of 2,131 hours for all projects from one-fourth of the builds (i.e., testing data) with its benefit exceeding its cost. Thus, BuildFast is cost-efficient and can save CI cost.

Compared with BS2 which was designed to improve the accuracy for failed builds, BuildFast significantly improved all the accuracy metrics except for the recall for failed builds. Overall, BuildFast improved F1-score for failed builds, F1-score for passed builds, F1-score and AUC by 55.2%, 42.0%, 43.0% and 19.5%; and the differences were statistically significant. Due to such a large accuracy improvement for passed builds, BuildFast improved gain by 74.2%.

Compared with BS3 which was specifically designed to optimize AUC, BuildFast still significantly improved AUC by 27.7%, and the difference was statistically significant. Surprisingly, BS3 achieved the lowest AUC among the four approaches. This could be attributed to the seven coarse-grained features in their work.

### 4.3 Efficiency Evaluation (RQ5)

Table 7 reports the time overhead of the four approaches. The first column lists the specific approach phases, i.e., training phase and prediction phase. The time overhead of prediction phase is composed of two parts in form of \( a + b \), where \( a \) denotes feature extraction time and \( b \) denotes outcome prediction time. We can see that BS2 took the longest time for training, i.e., averagely 469.8 seconds for each project, because it used cascaded classifiers, while BuildFast took 6.9 seconds, which was longer than BS3 but shorter than BS1. As training is a one-time job, this time overhead is acceptable. On the other hand, BuildFast took 1.3 seconds to extract features for each build, and another 0.004 seconds to obtain the predicted build outcome. While being the slowest due to the large number of used features, BuildFast is still practical for real-world projects.

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BuildFast significantly outperformed the best of the state-of-the-art approaches, BS1, by 47.5% in F1-score for failed builds without losing the F1-score for passed builds. Besides, BuildFast saved a sum of 2,131 hours for all the 20 projects.
4.4 Ablation Study (RQ6)

Table 8 shows the result of our ablation study to measure the contribution of various settings in BUILDFAST to the effectiveness in Sec. 4.2.

Removing Feature Selection. BUILDFAST had a degradation in almost all the accuracy metrics after removing feature selection. Significantly, the precision for failed builds decreased by 9.7% from 0.572 to 0.525, and the recall for passed builds decreased by 6.5% from 0.926 to 0.866. Overall, F1-score had a degradation of 5.0%. There was no significant difference for AUC, benefit, cost, and gain. These results show that feature selection contributes to the improved accuracy for both failed and passed builds by selecting representative features.

Training One Model with All Builds. When only one model was trained in BUILDFAST with all builds, BUILDFAST suffered a significant degradation in all the precision, recall, F1-score and AUC metrics except for the recall for failed builds. Overall, F1-score for failed builds, F1-score for passed builds, F1-score, and AUC decreased by 20.8%, 30.0%, 18.2% and 5.5%. Because of such a large degradation for passed builds, gain decreased by 11.0%. These results indicate that our adoption of two models greatly contributes to accuracy and cost-efficiency by learning specialized knowledge from distinguishable build data.

Training Two Models without All Failed Builds. When we did not include all failed builds into the training data of the two separate models, BUILDFAST had a degradation in all metrics. Significantly,
Excluding Our New Features

Excluding our new features, BUILDFAST had a significant degradation in accuracy metrics for failed builds. Overall, the F1-score for failed builds decreased by 4.7%. This is consistent to our motivation of including all failed builds into the two model training process, i.e., partially solving the unbalanced size of failed builds in order to improve the prediction accuracy for failed builds.
projects. The top 20 important features for our two models are reported in Table 9, where Imp. denotes the accumulated importance value of a feature, and Proj. denotes the number of projects that select a feature. We can see that more than half of the important features are newly introduced in this work (highlighted in bold). This indicates the usefulness of our new features. Besides, these important features are actually selected in various number of projects, meaning that different projects select different sets of features. This demonstrates the importance of feature selection.

### 4.5 Discussion

We discuss the threats to and limitations of this work.

**Threats.** First, we designed an online survey with GitHub developers instead of face-to-face interviews because it can allow us to recruit a relatively large number of participants (although the participant rate was low). Second, we decided to not offer compensation but ask participants to voluntarily take the survey. We expected that developers who were really interested in build outcome prediction and well motivated would participate in this survey and thus the survey quality could be improved. Third, BuildFast was only evaluated against open-source projects without developers’ feedback. Experiments with industrial projects and developers are needed to better measure the practical usages of BuildFast.

**Limitations.** First, although BuildFast outperforms the state-of-the-art approaches significantly in prediction accuracy for failed builds, we have to admit that there is still a room for improvements. One potential way is to understand the semantics of code changes by recent advances in deep code representation learning [5], as we only focus on code changes at syntactic level. Second, BuildFast only predicts whether a build fails, but cannot identify the root causes which would be useful for developers to fix the failure in advance. We plan to extend BuildFast to classify a failed build into several root causes (e.g., compilation errors and testing failures).

### 5 RELATED WORK

We review the most closely related work on build prediction, cost reduction in CI, empirical studies about CI, and defect prediction.

**Build Prediction.** Hassan and Zhang [25] used decision trees to predict build outcome with combined features related to social, technical, coordination and prior-build factors. Their model correctly predicted 66% of the failed builds and 95% of the passed builds on a large project at the IBM Toronto Labs. Wolf et al. [56] adopted social network analysis to obtain communication structure measures, and leveraged such measures into a Bayesian classifier to predict the outcome of a build. They achieved precision and recall between 50% and 76% on IBM’s Jazz project. Then, Schrotter [47] extended Wolf et al.’s work [56] by adding technical dependencies into the social network. Kwan et al. [33] analyzed the effect of social-technical congruence (i.e., the match between the coordination needs established by technical domain and the coordination activities carried out by project members) on build outcome. Their study on the IBM Rational Team Concert project showed that social-technical congruence had a negative effect on integration build success rate. The social factors in these approaches are often organization-specific, greatly hindering the generalizability of predictive models over a wider audience. Instead, BuildFast is specifically designed for CI environment, and thus can be applied to any project as long as it adopts CI.

Finlay et al. [18] used data stream mining techniques based on code metrics (i.e., basic metrics, dependency metrics, complexity metrics, cohesion metrics, and Halstead metrics) to predict build outcome. They achieved 72% accuracy on IBM’s Jazz project. As only source code files were included in metric computation, this approach could not predict build failures caused by errors in non-source code files (e.g., configuration files). Recently, Ni and Li [44] used cascaded classifiers to predict build outcome in CI based on file-level metrics from the current and previous build and failure statistics from historical builds. Similarly, Hassan and Wang [26] leveraged metrics from the current and previous build. Differently, they included metrics about failure type of the previous build and coarse-grained code changes in the current build, and did not consider historical builds. Different from such approaches, we extract fine-grained code change features from historical builds. Ni and Li [45] proposed to dynamically adapt a pool of classifiers learned from various projects to a new project that does not have sufficient data of builds. This approach is orthogonal to the previous approaches and our approach, because it reuses the classifiers trained by the previous approaches and our approach.

Xia and Li [57] investigated the accuracy of nine classifiers in the online build outcome prediction scenario, and found that the accuracy fell to a fairly low level. Xie and Li [59] targeted the online scenario, and proposed a semi-supervised online AUC optimization method. However, the coarse-grained features hinder its effectiveness. Except for three approaches [18, 45, 59], all the previous build outcome prediction approaches were evaluated in the cross-validation way, and thus they might not work well in the practical online scenario. Instead, BuildFast targets the online scenario. Moreover, apart from the accuracy indicators, we analyze the benefit from correct predictions and the cost of incorrect predictions to systematically evaluate the cost-effectiveness of BuildFast. Recently, Jin and Servant [31] proposed SmartBuildSkip to predict the first builds in a sequence of build failures with a machine learning classifier and
then determine that all subsequent builds will fail until it observes a passed build. This approach targets a different usage scenario of BuildFast, and our classifier can be integrated into their approach.

Besides, Bisong et al. [8] developed a predictive model to predict the build time of a build job in CI. McIntosh et al. [40], Xia et al. [58] and Macho et al. [37] used machine learning techniques to predict whether source code changes will induce changes in the build system (i.e., build configuration co-changes). These techniques target a different prediction problem than BuildFast.

Cost Reduction in CI. Apart from build outcome prediction, various techniques have been proposed to reduce cost in CI. For example, to reduce build cost, some plugins [12, 13] are designed into CI services for developers to skip some builds by manually configuring the build process; Abdalkareem et al. [4] proposed a rule-based technique to automatically identify commits that can be CI skipped; followed by a machine learning-based approach [3]; and Gambi et al. [21] developed a novel build system that can lazily retrieve parts of libraries that are needed during the execution of a build target. Tu- fano et al. [51] proposed to analyze developer’s changes and predict whether it impacts the largest critical path, whether it may lead to build time increase and the delta, and the percentage of future builds that might be affected by such changes. To reduce testing cost, Cel- lik et al. [10] consolidated repetitive and expensive setup activities into pre-configured testing virtual machines; and a number of test case prioritization [9, 16, 36, 39, 60] and test case selection [41, 49] have been developed into CI to minimize test execution cost. These techniques are orthogonal to BuildFast as they focus on different aspects in CI. Ideally, they can be combined together to achieve optimal cost reduction.

Empirical Studies about CI. With the widespread adoption of CI, empirical studies have been widely conducted to investigate different aspects of CI, e.g., usage, cost, benefits, barriers and needs when developers use CI [27, 28, 52], type and frequency of build failures in CI [30, 32, 46, 54], build failures caused by compilation [48, 62], testing [6, 34] and static violations in static analysis [61], noise and heterogeneity [20] in historical build dataset [7], characteristics of long build duration [22], anti-patterns in CI [53], and test code evolution in CI [43]. Some studies [22, 28] reported concrete evidence on expensive build cost, and some studies [27, 53] revealed that waiting for builds to finish is a common barrier faced by developers. Therefore, these studies motivate the need for build outcome prediction to save build cost. Besides, studies about build failures [30, 32, 46, 54] shed light on our feature selection.

Defect Prediction. Defect prediction has been widely studied. Generally, defect prediction methods (e.g., [14, 17, 38, 50, 55]) build machine learning models based on different kinds of metrics and predict defects at different granularity levels. As defect prediction mostly focuses on defects in source code files and build failures can be caused by errors in non-source code files, defect prediction techniques cannot directly translate to build outcome prediction in CI.

6 CONCLUSIONS

In this paper, motivated by our empirical study on build times and our developer survey on build outcome prediction, we propose a new history-aware approach, named BuildFast, to predict CI build outcomes cost-efficiently and practically. Our experiments on 20 projects have demonstrated that BuildFast can improve the state-of-the-art approaches by 47.5% in F1-score for failed builds without losing the accuracy for passed builds, and the benefit of BuildFast exceeds its cost, bringing fast feedback and reduced CI cost.

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